



**OH-5 DISTRICT CABINET
STATE COMPLIANCE COMMITTEE REPORT
SECOND QUARTER REPORT**

Report #S-12

November 13, 2021

Honorable District Governor Joe Jackson
District OH-5 Officers & Cabinet Members
District OH-5 State & District Committee Representatives

SUBJECT: 2nd Quarter State Compliance Committee Report

District Governor Joe:

It is both an honor and privilege to be appointed as the State Compliance Committee Representative from OH-5 for this Lions year.

In addition, it is an honor to have been elected as Chairperson of the State Compliance Committee for this Lions year.

The Lions of Ohio, at its annual State convention in May 2019, voted to include the State Compliance Committee as a standing and funded State committee.

The Lions of Ohio approved the introduction of the State Compliance Committee to include its purpose as stated "The purpose of this committee is to ensure all clubs within Multiple District 13 are compliant with current Federal and State Agencies, including but not limited to the Internal Revenue Service, the Ohio Department of Taxation, and the Ohio Attorney General"

The State Compliance Committee held its second quarter meeting by Zoom platform on Sunday, October 17, 2021. All committee members were present to include Council Chairman Kerry Parker.

The State Compliance Committee has decided that it meet on a monthly basis to include the presentation of District Compliance status and updates on compliance rules of law and statutory requirements.

This committee is made up of seven Compliance Committee members each appointed by its District Governor and approved by its Cabinet.

Many issues were discussed during this second quarter meeting to include current compliance laws and rules of law and updated meetings and discussions with both the Ohio Attorney General Charitable Law Section and the IRS by the Committee Chairman PCC Dave Risen.

Updated status reports were presented by each District Compliance Committee member to include objectives and suspense dates by each District in addressing those clubs/Foundations that are not in compliance with either the Ohio AG or IRS.

A review of committee goals and standards were discussed to include the introduction of implementation of a compliance article in the State newsletter and affirmation that all seven sub Districts place on its District website compliance information and documents.

In addition, each Compliance Committee member will send an updated compliance District status report to each District Governor to include the District leadership team along with the Zone Chairpersons.

Additional discussions regarding the Compliance Committee working in concert with the Global Leadership team in educating our leaders and clubs in compliance matters was presented. Joint meetings with both committees reviewing these objectives will be ongoing.

During this Lions year the State Compliance Committee will continue its focus regarding compliance of our District and Multiple District clubs and Foundations to include those areas of taxation, liability, incorporation and gaming laws. Further, this committee will internally educate and familiarize on all compliance matters to include those relating to the IRS.

The Compliance Committee, through its Chairman PCC Dave Risen and its Vice Chairperson PCC Betty Robbins, are continuing to communicate with LCI Legal in sorting out the EIN# issue of duplicate and no longer chartered Lions Clubs and Foundations. This is the second effort regarding communication with LCI legal in regards to this issue with the anticipation that we will receive a detailed response from LCI Legal regarding this issue to include the deleting/updated EIN# provided by this committee to LCI Legal at which time LCI Legal notified the IRS accordingly.

It should be noted that we are currently seeing an improvement Multiple District wide in compliance affirmation. We do see a continued concern with those clubs and Foundations that routinely have not addressed its IRS and OAG file requirements.

District OH-5 has a unique situation where it also has a special District Compliance representative.

Through the work and participation of Lion Steve regarding compliance, District OH-5 continues to show positive compliance results. In comparison to total compliance clubs and Foundations in MD-13 Ohio sub Districts , OH-5 clearly leads in percentage compliant and in current compliant percentage.

My upmost gratitude and appreciation to Lion Steve for his efforts that continue to show positive compliance results in District OH-5.

District OH-5, along with myself, are privileged to have Lion Steve in this position. His commitment and dedication to compliance as well as his knowledge is greatly appreciated.

I look forward to the continued Lions year ahead as the District OH-5 in working with Lion Steve Kaplan the Compliance Committee representative and in working closely with DG Joe , 1VDG Debbie and 2VDG Mike as well as the incoming District OH-5 Leadership Team and Cabinet.

It is because of that commitment to the importance of compliance that District OH-5 will continue to meet those objectives of keeping our clubs and Foundations in compliance.

I, along with Lion Steve Kaplan, ask for your continued support and know that each of us will be available for any assistance or information.

Respectfully Submitted,

PCC Lion David Risen
Compliance Committee Chairman and District OH-5 Representative